



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Bi

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/038,983	03/12/98	WAKAI	M 35.C12644

LMC1/0727
FITZPATRICK CELLA HARPER & SCINTO
30 ROCKEFELLER PLAZA
NEW YORK NY 10112-3801

EXAMINER	
OPIE, G	
ART UNIT	PAPER NUMBER

2755

5

DATE MAILED:

07/27/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.

09/038,983

Examiner

George L. Opie

Applicant(s)

Wakai et al.

Art Unit

2755

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

Status

- 1) ☐ Responsive to communication(s) filed on _____.
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-87 is/are pending in the application.
- 4a) Of the above claim(s) ☐ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ☐ is/are allowed.
- 6) ☒ Claim(s) 1-87 is/are rejected.
- 7) ☐ Claim(s) ☐ is/are objected to.
- 8) ☐ Claim(s) ☐ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some * c) ☐ None of the CERTIFIED copies of the priority documents have been:
1. ☐ received.
 2. ☐ received in Application No. (Series Code / Serial Number) _____.
 3. ☐ received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. & 119(e).

Attachment(s)

- 14) ☒ Notice of References Cited (PTO-892) 17) ☐ Interview Summary (PTO-413) Paper No(s) _____
- 15) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948) 18) ☐ Notice of Informal Patent Application (PTO-152)
- 16) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ 19) ☐ Other:

Art Unit: 2755

virtual button associated, Id.) and the hysteresis data (information) includes the object (ls) to be input or to be processed (c-shell command). From Bristor's teachings, it would have been an obvious modification to perform an operation that differs from the selected hysteresis data as users frequently alter the commands out of history lists. It would have been obvious to combine the history menu mechanism as taught by Bristor with the APA as modified because the search and display features enable a user to efficiently regenerate operations.

As to claims 44-86, note the rejections of claims 1-43 above. Claims 44-86 are the same as claims 1-43, except claims 44-86 are method claims and claims 1-43 are apparatus claims.

As to claim 87, note the rejection of claim 1 above. Claim 87 is the same as claim 1, except claim 87 is a computer program product claim and claim 1 is an apparatus claim.

8. The prior art of record and not relied upon is considered pertinent to the applicant's disclosure. Each reference disclosed below is relevant to one or more of the Applicant's claimed invention.

U.S. Patent No. 6,018,344 to Harada et al. which teaches the history display with multiple nodes;

U.S. Patent No. 5,884,312 to Dustan et al. which teaches the access of an activity log on remote systems;

U.S. Patent No. 5,678,042 to Pisello et al. which teaches locating file changes on a variety of servers.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to George Opie at (703) 308-9120 or via e-mail at George.Opie@uspto.gov. Internet e-mail should not be used where sensitive data will be exchanged or where there exists a possibility that sensitive data could be identified unless there is an express waiver of the confidentiality requirements under 35 U.S.C. 122 by the Applicant. Sensitive data includes confidential information related to patent applications.


ALVIN E. OBERLEY
SUPERVISORY PATENT EXAMINER
GROUP 2700

Art Unit: 2755

DETAILED ACTION

1. Request for copy of Applicant's response on floppy disk:
Please help expedite the prosecution of this application by including, along with your amendment response in paper form, an electronic file copy in WordPerfect, Microsoft Word, or in ASCII text format on a 3 1/2 inch IBM format floppy disk. Please include all pending claims along with your responsive remarks. Only the paper copy will be entered -- your floppy disk file will be considered a duplicate copy. Signatures are not required on the disk copy. The floppy disk copy is not mandatory, however, it will help expedite the processing of your application. Your cooperation is appreciated.

2. The U.S. Patents used in the art rejections below have been provided as text documents which correspond to the U.S. Patents. The relevant portions of the text documents are cited according to page and line numbers in the art rejections below. For the convenience of Applicant, the cited sections are highlighted in the *text documents*. Consistent with Office procedure, the U.S. Patents corresponding to the *text documents* are also included with this action.

3. Claim Rejections - 35 U.S.C. § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-8, 11-15, 19-30, and 39-41 are rejected under 35 U.S.C. § 103(a) as being unpatentable over the Admitted Prior Art (APA) in view of Moran et al. (U.S. Patent 5,786,814).

As to claims 1-8, 11-15, 19-30, & 39-41, the APA (application background p1-4) teaches an information processing apparatus (PC) input means (scanner) processing means (handles electronic information) storage means (data 24 can be stored in the server) output means (printer 21) storing associated information and an operation that was performed as hysteresis data (Fig. 1A, with an editing application ... hysteresis data for operations ... can be stored) operation entry means manipulated by a user (operations ... performed by a user). The APA also teaches storing the hysteresis data (address information) in correlation with an object (home page) in a process (server) other than a process performed by

Art Unit: 2755

the processing means (PC) and a second processing means (a server 25) an instruction to another apparatus to print (data are printed via a server 25). The APA does not explicitly disclose the additional limitations detailed below. Moran teaches analyzation means (analyzed to identify events, p15 l43-47) reception means for receiving an instruction from a user (user interface for controlling playback of temporal data, p4 l43-56) detection means (getType, p8 l13).

Also, Moran teaches a "history list ... is timestamped and is associated with an object", p25 l26-38 which corresponds to the associated information includes: information concerning time for execution, a location for execution, an apparatus for execution, and an object for execution.

Moran further teaches designation means (control events, p30 l12-49) determines the storage for each operation/object (off record ... on record, Id.).

Additionally, Moran teaches control means (session access device, p5 l6-24 based on the hysteresis data (utilizes event information, Id.) reperformance or cancellation of the process (playback ... or a deletion event, Id.) and a plurality of function units (Capture Devices, p7 l50) acquisition means ((calls to Session objects, p8 ln26) with display of the hysteresis data (stored data).

It would have been obvious to combine Moran's teachings with the APA because the event replay system as taught by Moran facilitates the correlative control of data/operations which would enable an efficacious duplication of selected information/processing, Moran p5 l6-24.

As to claims 20 and 31, "Official Notice" is taken that an object includes information of the recited types is well known in the art (MPEP2144.03). It would have been obvious to equip the object with the capacity to contain/convey data from a variety of sources because the degree to which an object handles information dictates the functionality of that object; thus, it would have been obvious to combine this extensive characteristic object data with the system of the APA as modified by Moran in order that the users might enjoy the most extensible use of hysteresis data to enhance a wide range of application environments.

As to claim 25, "Official Notice" is taken that processes of the recited types are well known in the art (MPEP2144.03). It would have been obvious to incorporate the stipulated processes in the hysteresis system of the APA as modified by Moran because the recited functions are fundamentals requisite for basic computing operations.

5. Claims 9-10 are rejected under 35 U.S.C. § 103(a) as being unpatentable over the Admitted Prior Art (APA) and Moran et al. (U.S. Patent 5,786,814) as applied to claim 3 above, and further in view of Fukui et al. (U.S. Patent 5,918,222).

Art Unit: 2755

As to claims 9-10, Fukui teaches "a function of permitting to record and reproduce information transmitted by a user in an interactive operation with the apparatus", p11 l32-39 which corresponds to the associated information includes information concerning a person relative to an operation. Fukui continues by detailing that a "user demand is stored in a user history information table, and the same response form is employed when the same user accesses the agent in the same environment", p22 l19-33 which reads-on a person who has issued an instruction or has performed an operation.

It would have been obvious to combine the teachings of Fukui with the APA as modified by Moran because the correlating of a user with data processes provides a security control as well as an enhanced customized repeat/response system which suits a user's operations.

6. Claims 16-18 are rejected under 35 U.S.C. § 103(a) as being unpatentable over the Admitted Prior Art (APA) and Moran et al. (U.S. Patent 5,786,814) as applied to claim 3 above, and further in view of Barrett et al. (U.S. Patent 5,727,129).

As to claims 16-18, Barrett teaches "steps 28 and 32 review a history of past activities to identify associations and sequential patterns between accessed Web pages", which corresponds to the recitations regarding the associated hysteresis data and the concomitant correlative series of operations. It would have been obvious to combine Barrett's teachings with the APA as modified by Moran because the intelligent browser layer which provides pattern review produces a system such that "the user can more efficiently perform subsequent activities", Barrett p6 l6-15.

7. Claims 32-38 and 42-43 are rejected under 35 U.S.C. § 103(a) as being unpatentable over the Admitted Prior Art (APA) and Moran et al. (U.S. Patent 5,786,814) as applied to claim 28 above, and further in view of Bristor (U.S. Patent 6,018,342).

As to claims 32-38 and 42-43, Bristor teaches the storage of hysteresis data (history database, p7 l27-47) in correlation with an object (user data, Id.) to be processed by the second processing means ("http://www.uspto.gov", p8 l56 - p9 l15) including a search for hysteresis data (recalls and regenerates the user data, p6 l27-45) and display of a list of hysteresis data that are searched (menu whose items are ... displayed, Id.) and a process for selecting specific hysteresis data (user then selects the previously generated user data, Id.) from the list of hysteresis data (list of descriptions, Id.) which includes re-performance of an operation (regenerate the previously generated c-shell command, Id.) corresponding to selected hysteresis data (actuating a